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| APPLICATION NO.         | F        | ILING DATE    | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |  |
|-------------------------|----------|---------------|----------------------|-------------------------|------------------|--|
| 09/750,424              |          | 12/28/2000    | Adrian Auf Der Maur  | 27656/37021             | 7858             |  |
| 4743                    | 7590     | 08/14/2003    |                      |                         |                  |  |
|                         | •        | STEIN & BORUN | EXAMINER             |                         |                  |  |
| 6300 SEAR<br>233 S. WAG | CKER DRI | IVE           | WESSENDORF, TERESA D |                         |                  |  |
| CHICAGO, IL 60606       |          |               |                      | ART UNIT                | PAPER NUMBER     |  |
|                         |          |               |                      | 1639                    |                  |  |
|                         |          |               |                      | DATE MAILED: 08/14/2003 |                  |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

|   |   | Application No.                   | Applicant(s)   |  |  |  |  |
|---|---|-----------------------------------|--|--|--|--|--|
| Office Action Summary   |   | 09/750,424                        | DER MAUR ET AL.                                      |  |  |  |  |
|   |   | Examiner                          | Art Unit   |  |  |  |  |
|   |   | T. D. Wessendorf                  | 1639   |  |  |  |  |
|   | - The MAILING DATE of this communication app  | ears n the cover sheet with the c | correspondence address                               |  |  |  |  |
| Period for Reply  |   |                                   |  |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |   |                                   |  |  |  |  |  |
| Status  | Decreasive to communication(a) filed on 24.1  | January 2002                      |  |  |  |  |  |
| 1)⊠   | Responsive to communication(s) filed on <u>24 J</u> This action is <b>FINAL</b> . 2b) This  | is action is non-final.           |  |  |  |  |  |
| 2a)☐  | ,   |                                   | rosecution as to the merits is                       |  |  |  |  |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.  |   |                                   |  |  |  |  |  |
| Disposition of Claims   |   |                                   |  |  |  |  |  |
| 4) Claim(s) 1-41 is/are pending in the application.   |   |                                   |  |  |  |  |  |
| 4a) Of the above claim(s) is/are withdrawn from consideration.  |   |                                   |  |  |  |  |  |
| 5) Claim(s) is/are allowed.   |   |                                   |  |  |  |  |  |
|   | 6) Claim(s) is/are rejected.  |                                   |  |  |  |  |  |
| •   | Claim(s) is/are objected to.  | alastian raquirament              |  |  |  |  |  |
| •   | Claim(s) <u>1-41</u> are subject to restriction and/or e  | election requirement.             |  |  |  |  |  |
| 9) The specification is objected to by the Examiner.  |   |                                   |  |  |  |  |  |
| 10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.   |   |                                   |  |  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).   |   |                                   |  |  |  |  |  |
| 11) ☐ The proposed drawing correction filed on is: a) ☐ approved b) ☐ disapproved by the Examiner.  |   |                                   |  |  |  |  |  |
| If approved, corrected drawings are required in reply to this Office action.  |   |                                   |  |  |  |  |  |
| 12) The oath or declaration is objected to by the Examiner.   |   |                                   |  |  |  |  |  |
| Priority under 35 U.S.C. §§ 119 and 120   |   |                                   |  |  |  |  |  |
| 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).   |   |                                   |  |  |  |  |  |
| a)[   | a) ☐ All b) ☐ Some * c) ☐ None of:  |                                   |  |  |  |  |  |
|   | 1. Certified copies of the priority documents have been received.   |                                   |  |  |  |  |  |
|   | 2. Certified copies of the priority documents have been received in Application No  |                                   |  |  |  |  |  |
| <ul> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>   |   |                                   |  |  |  |  |  |
| 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  |   |                                   |  |  |  |  |  |
| a) The translation of the foreign language provisional application has been received.  15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.   |   |                                   |  |  |  |  |  |
| Attachment(s)   |   |                                   |  |  |  |  |  |
| 2) Notic  | e of References Cited (PTO-892)<br>e of Draftsperson's Patent Drawing Review (PTO-948)<br>nation Disclosure Statement(s) (PTO-1449) Paper No(s) _ | 5) Notice of Informal             | y (PTO-413) Paper No(s) Patent Application (PTO-152) |  |  |  |  |

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## DETAILED ACTION

## Election/Restrictions

Restriction to one of the following inventions is required under 35 U.S.C. 121:

Group I, claim(s) 1-3 and 7-9 drawn to a method of isolating scFv.

Group II, claim(s) 4-6, 10-15, 29 and 39 drawn to scFv with defined framework.

Group III, claim(s) 16-17, drawn to a method of generating CDR library.

Group IV, claim(s) 18, drawn to a library of intrabodies.

Group V, claim(s) 19-23 and 40-41 drawn to a method of screening for CDRs interacting with antigen.

Group VI, claim(s) 24-28, drawn to a method of screening an antigen interacting with scFv.

Group VII, claim(s) 30, drawn to a use of scFv for screening.

Group VIII, claim(s) 31-38, drawn to a method of identifying intrabody frameworks using marker system.

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The inventions are distinct, each from the other because of the following reasons:

Inventions I, III, V, VI, VII and VIII are unrelated.

Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different modes of operation, different functions, or different effects (MPEP § 806.04, MPEP § 808.01). In the instant case the different inventions are drawn to different methods steps accomplishing different results and requiring different components in the methods.

Inventions II and IV are unrelated. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different modes of operation, different functions, or different effects (MPEP § 806.04, MPEP § 808.01). In the instant case the different inventions are different compounds i.e. a library or plurality of compounds for Group IV and single component compound, scFV, for Group II.

Inventions (I, III, V, VI, VII, VIII) and (II and IV) are unrelated. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different modes of operation, different functions, or different

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effects (MPEP § 806.04, MPEP § 808.01). In the instant case the different inventions are drawn to different statutory subject matter of compounds of Groups I and IV and the methods of Groups II, III and V-VIII.

Because these inventions are distinct for the reasons given above and have acquired a separate status in the art because of their recognized divergent subject matter, and the search required for Group I is not required for Groups II-VIII, specifically literature searches, restriction for examination purposes as indicated is proper.

A telephone call was made to J.Sharp on 8/1/03 to request an oral election to the above restriction requirement, but did not result in an election being made.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship

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must be accompanied by a petition under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(I).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to T. D. Wessendorf whose telephone number is (703) 308-3967. The examiner can normally be reached on Flexitime.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew Wang can be reached on (703) 306-3217. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 308-7924 for regular communications and (703) 308-7924 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0196.

T. D. Wessendorf Primary Examiner Art Unit 1639

tdw August 11, 2003